IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Examiner: Almari Romero Yuan
A.W. I-Shin)
Serial No.: 09/440,213)
Filed: November 15, 1999) Art Unit: 2176
For: METHOD, SYSTEM, AND)
PROGRAM FOR INTERFACING)
WITH ELEMENTS IN A)
DOCUMENT)
)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A.   Non-English Language References

☐   Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.

☐   The specification incorporates comments on the relevancy of Non-English language references.

☐   Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

B. ☐ The information disclosure statement submitted herewith is being filed

    1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);

    2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;

    3) before the mailing date of a first Office Action on the merits; or

    4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114.

C. ☒ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:

    1) a Statement under 1.97(e); **or**

    2) a fee of $180 as set forth in 1.17(p).

D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:

    1) a Statement under 1.97(e); **and**

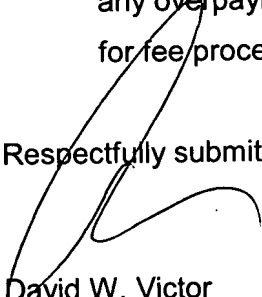   2) a fee of $180 as set forth in 1.17(p).

STATEMENT UNDER 1.97(e)

I, the person signing below, certify

☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).
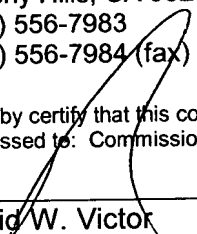
If required under paragraph C or D *supra*, please charge Deposit Account No. 09-0460 in the amount of $180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 09-0460. A duplicate of this letter is enclosed for fee processing.
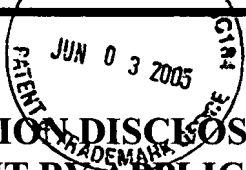
Respectfully submitted,

David W. Victor   Dated: <u>May 31, 2005</u>
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on <u>May 31, 2005</u>.

_____   <u>5/31/05</u>
David W. Victor   (Date)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

			Complete if Known	
		Application Number	09/440,213	
		Filing Date	November 15, 1999	
		First Named Inventor	A.W. I-Shin	
		Art Unit	2176	
		Examiner Name	Almari Romero Yuan	
Page	1	of	2	Attorney Docket Number	ST999044

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number — Number- Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document — Country Code- Number- Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
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Application Number	09/440,213
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First Named Inventor	A.W. I-Shin
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Examiner Name	Almari Romero Yuan

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(use as many sheets as necessary)

Page	2	of	2	Attorney Docket Number	ST999044

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Examiner Signature		Date Considered	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.